

Ordered groups, knots, braids and hyperbolic 3-manifolds

Minicourse in Caen

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Outline

Lecture 1: Introduction to ordered groups

Lecture 2: Ordering knot groups; Fibred knots and surgery

Lecture 3: Braids, $Aut(F_n)$ and minimal volume hyperbolic 3-manifolds

Knot groups and their orderability.

Recall that we discussed orderability of groups and the closely related concept of local indicability.

We have the following implications among these properties:

Bi-orderable \implies Locally indicable \implies Left-orderable \implies Torsion-free

None of these implications is reversible.

Knot groups

If K is a knot in \mathbb{S}^3 , its **knot group** is $\pi_1(\mathbb{S}^3 \setminus K)$.

Our goal is to show that all knot groups are left-orderable, in fact locally indicable.

This will be a special case of a more general result about 3-dimensional manifolds.

Knot groups

We will need a few ideas from 3-manifold theory.

Definition: A 3-manifold is *irreducible* if every tame 2-sphere in the manifold bounds a 3-dimensional ball in the manifold.

A nontrivial fact is that if $\tilde{X} \rightarrow X$ is a covering space, with X (and therefore \tilde{X}) a 3-manifold, then X is irreducible if and only if \tilde{X} is irreducible.

If $X = \mathbb{S}^3 \setminus K$ is a knot complement, then X is irreducible. This is also true if K is a link if (and only if) it is not a split link.

By Alexander duality, we also have that $H_1(\mathbb{S}^3 \setminus K; \mathbb{Z}) \cong \mathbb{Z}$. That is, the first Betti number (the number of copies of \mathbb{Z} in the first homology group) equals one.

Knot groups

Theorem

Suppose X is a connected, orientable, irreducible 3-manifold (possibly with boundary). If X has positive first Betti number, then $\pi_1(X)$ is locally indicable, and therefore left-orderable.

The proof, essentially due to Howie and Short, will be given below.

Corollary

Knot groups are locally indicable.

Knot groups are locally indicable

Consider X as in the hypothesis of the theorem.

$\pi_1(X)$ is **indicable**, using the (surjective) Hurewicz homomorphism and a further homomorphism to one of the \mathbb{Z} factors of $H_1(X)$.

$$\pi_1(X) \rightarrow H_1(X) \rightarrow \mathbb{Z}$$

To show $\pi_1(X)$ is **locally** indicable, consider a finitely generated nontrivial subgroup $H < \pi_1(X)$. We need to find a surjection $H \rightarrow \mathbb{Z}$.

Case 1: H has **finite index**. This is easy; the Hurewicz map takes H to a finite index subgroup of $H_1(X)$, which therefore contains a copy of \mathbb{Z} .

Knot groups are locally indicable

Case 2: H has **infinite index**. Then there is a covering $p : \tilde{X} \rightarrow X$ with $p_*\pi_1(\tilde{X}) = H$. \tilde{X} is noncompact, but its fundamental group is f. g. so, by a theorem of Scott, there is a **compact** submanifold $C \subset \tilde{X}$ with inclusion inducing an isomorphism $\pi_1(C) \cong \pi_1(\tilde{X}) \cong H$.

C necessarily has nonempty boundary. If $B \subset \partial C$ is a boundary component which is a sphere, then irreducibility implies that B bounds a 3-ball in \tilde{X} . That 3-ball either contains C or its interior is disjoint from C , and the former can't happen because that would imply the inclusion map $\pi_1(C) \rightarrow \pi_1(\tilde{X})$ is trivial. Therefore, we can adjoin that 3-ball to C removing B as a boundary component and not changing $\pi_1(C)$.

Knot groups are locally indicable

This process allows us to assume that ∂C is nonempty and has infinite homology groups.

Exercise 6: Conclude that C also has infinite homology. [Hint: one way to do this is by considering the Euler characteristic of the closed 3-manifold $2C$, obtained by glueing two copies of C together along the boundary.]

Then we have surjections $H \cong \pi_1(C) \rightarrow H_1(C) \rightarrow \mathbb{Z}$ as required. □

Fibred knots

It is well known that every (tame) knot in \mathbb{S}^3 is the boundary of a compact orientable surface (called a Seifert surface) in \mathbb{S}^3 .

A knot is said to be **fibred** if there is a fibre bundle map $\mathbb{S}^3 \setminus K \rightarrow \mathbb{S}^1$ with fibres being open orientable surfaces whose closures have K as boundary in \mathbb{S}^3 .

In other words, the complement of K in \mathbb{S}^3 can be filled with a circle's worth of orientable surfaces.

Fibred knots

If K is a fibred knot, with complement $X = \mathbb{S}^3 \setminus K$ and with fibre F an open surface, the exact homotopy sequence of a fibration gives the short exact sequence:

$$1 \rightarrow \pi_1(F) \rightarrow \pi_1(X) \rightarrow \pi_1(S^1) \rightarrow 1.$$

But $\pi_1(F)$ is a free group and $\pi_1(S^1) \cong \mathbb{Z}$. Both these groups are locally indicable, so we conclude from Exercise 4 that the knot group $\pi_1(X)$ is locally indicable, and therefore left orderable.

That is, the group of a fibred knot is seen to be locally indicable without the need for the general theorem we have proved, which applies to all knots.

Fibred knots

A fibration $X \rightarrow S^1$ with fibre F can be considered as the mapping cylinder of a (monodromy) homeomorphism $h : F \rightarrow F$:

$$X \cong \frac{F \times [0, 1]}{(x, 1) \sim (h(x), 0)}$$

For a fibred knot with $X = \mathbb{S}^3 \setminus K$ the Alexander polynomial is just the **characteristic polynomial** of the **homology** monodromy $H_1(F) \rightarrow H_1(F)$. Non-fibred knots also have an Alexander polynomial, but it may not be monic, as is the case for fibred knots.

Fibred knots

Also, the knot group $\pi_1(X)$ is an HNN extension of the free group $\pi_1(F)$, corresponding to the **homotopy** monodromy $h_* : \pi_1(F) \rightarrow \pi_1(F)$, where $\pi_1(F) \cong \langle x_1, \dots, x_{2g} \rangle$ is a free group.

$$\pi_1(X) \cong \langle x_1, \dots, x_{2g}, t \mid h_*(x_i) = tx_it^{-1} \rangle$$

Exercise 7: This group is bi-orderable if and only if there is a bi-ordering of $\pi_1(F)$ which is preserved by h_* .

Fibred knots

We will sketch the proofs of two theorems regarding bi-ordering fibred knot groups.

Theorem

- 1 (Perron - R.) *If K is fibred and $\Delta_K(t)$ has **all** roots real and positive, then its group is bi-orderable.*
- 2 (Clay-R.) *If K is fibred and its group is bi-orderable, then $\Delta_K(t)$ has **some** real positive roots.*

Before proving these theorems, we consider some examples.

Examples

Torus knots: curves which can be inscribed on the surface of an unknotted torus in \mathbb{S}^3 . For relatively prime integers p, q the torus knot $T_{p,q}$ has group

$$\langle a, b \mid a^p = b^q \rangle.$$

Note that a commutes with b^q but not with b (unless the group is abelian, and the knot unknotted). We've already observed that in a bi-orderable group, if an element commutes with a nonzero power of another element, then the elements must themselves commute. Therefore:

Proposition

The group of a nontrivial torus knot is not bi-orderable.

Examples



The figure-eight knot 4_1 is fibred and has Alexander polynomial $\Delta_{4_1} = t^2 - 3t + 1$ with roots $\frac{3 \pm \sqrt{5}}{2}$, both real and positive. From Theorem 2 we conclude

Proposition

The group of the knot 4_1 is bi-orderable.

More bi-orderable knot groups



$$8_{12} \quad \Delta = 1 - 7t + 13t^2 - 7t^3 + t^4$$



$$10_{137} \quad \Delta = 1 - 6t + 11t^2 - 6t^3 + t^4$$



$$11a_5 \quad \Delta = 1 - 9t + 30t^2 - 45t^3 + 30t^4 - 9t^5 + t^6$$



$$11n_{142} \quad \Delta = 1 - 8t + 15t^2 - 8t^3 + t^4$$

More bi-orderable knot groups



$$12a_{0125} \quad \Delta = 1 - 12t + 44t^2 - 67t^3 + 44t^4 - 12t^5 + t^6$$



$$12a_{0181} \quad \Delta = 1 - 11t + 40t^2 - 61t^3 + 40t^4 - 11t^5 + t^6$$



$$12a_{1124} \quad \Delta = 1 - 13t + 50t^2 - 77t^3 + 50t^4 - 13t^5 + t^6$$



$$12n_{0013} \quad \Delta = 1 - 7t + 13t^2 - 7t^3 + t^4$$

More bi-orderable knot groups



$$12n_{0145} \quad \Delta = 1 - 6t + 11t^2 - 6t^3 + t^4$$



$$12n_{0462} \quad \Delta = 1 - 6t + 11t^2 - 6t^3 + t^4$$



$$12n_{0838} \quad \Delta = 1 - 6t + 11t^2 - 6t^3 + t^4$$

More **non** bi-orderable knot groups

Recall the Theorem: **fibred and bi-orderable** $\implies \Delta$ has positive roots.
This can be used for an alternative proof that torus knots $T_{p,q}$, which are fibred, have non-bi-orderable group, because

$$\Delta_{T(p,q)} = \frac{(t^{pq} - 1)(t - 1)}{(t^p - 1)(t^q - 1)}$$

whose roots are on the unit circle and not real.

There are many other fibred knots which have **non**-biorderable group for similar reasons

More **non** bi-orderable knot groups

The prime knots with 12 or fewer crossings which are known to have **non**-bi-orderable group, because they are fibred and have Alexander polynomials without positive real roots, are as follows:

$3_1, 5_1, 6_3, 7_1, 7_7, 8_7, 8_{10}, 8_{16}, 8_{19}, 8_{20}, 9_1, 9_{17}, 9_{22}, 9_{26}, 9_{28}, 9_{29}, 9_{31},$
 $9_{32}, 9_{44}, 9_{47}, 10_5, 10_{17}, 10_{44}, 10_{47}, 10_{48}, 10_{62}, 10_{69}, 10_{73}, 10_{79}, 10_{85},$
 $10_{89}, 10_{91}, 10_{99}, 10_{100}, 10_{104}, 10_{109}, 10_{118}, 10_{124}, 10_{125}, 10_{126}, 10_{132},$
 $10_{139}, 10_{140}, 10_{143}, 10_{145}, 10_{148}, 10_{151}, 10_{152}, 10_{153}, 10_{154}, 10_{156}, 10_{159},$
 $10_{161}, 10_{163}, 11a_9, 11a_{14}, 11a_{22}, 11a_{24}, 11a_{26}, 11a_{35}, 11a_{40}, 11a_{44}, 11a_{47},$
 $11a_{53}, 11a_{72}, 11a_{73}, 11a_{74}, 11a_{76}, 11a_{80}, 11a_{83}, 11a_{88}, 11a_{106}, 11a_{109},$
 $11a_{113}, 11a_{121}, 11a_{126}, 11a_{127}, 11a_{129}, 11a_{160}, 11a_{170}, 11a_{175}, 11a_{177},$
 $11a_{179}, 11a_{180}, 11a_{182}, 11a_{189}, 11a_{194}, 11a_{215}, 11a_{233}, 11a_{250}, 11a_{251},$
 $11a_{253}, 11a_{257}, 11a_{261}, 11a_{266}, 11a_{274}, 11a_{287}, 11a_{288}, 11a_{289}, 11a_{293},$
 $11a_{300}, 11a_{302}, 11a_{306}, 11a_{315}, 11a_{316},$

More **non** bi-orderable knot groups

$11a_{326}, 11a_{330}, 11a_{332}, 11a_{346}, 11a_{367}, 11n_7, 11n_{11}, 11n_{12}, 11n_{15}, 11n_{22},$
 $11n_{23}, 11n_{24}, 11n_{25}, 11n_{28}, 11n_{41}, 11n_{47}, 11n_{52}, 11n_{54}, 11n_{56}, 11n_{58},$
 $11n_{61}, 11n_{74}, 11n_{76}, 11n_{77}, 11n_{78}, 11n_{82}, 11n_{87}, 11n_{92}, 11n_{96}, 11n_{106},$
 $11n_{107}, 11n_{112}, 11n_{124}, 11n_{125}, 11n_{127}, 11n_{128}, 11n_{129}, 11n_{131}, 11n_{133},$
 $11n_{145}, 11n_{146}, 11n_{147}, 11n_{149}, 11n_{153}, 11n_{154}, 11n_{158}, 11n_{159}, 11n_{160},$
 $11n_{167}, 11n_{168}, 11n_{173}, 11n_{176}, 11n_{182}, 11n_{183}, 12a_{0001}, 12a_{0008}, 12a_{0011},$
 $12a_{0013}, 12a_{0015}, 12a_{0016}, 12a_{0020}, 12a_{0024}, 12a_{0026}, 12a_{0030}, 12a_{0033},$
 $12a_{0048}, 12a_{0058}, 12a_{0060}, 12a_{0066}, 12a_{0070}, 12a_{0077}, 12a_{0079}, 12a_{0080},$
 $12a_{0091}, 12a_{0099}, 12a_{0101}, 12a_{0111}, 12a_{0115}, 12a_{0119}, 12a_{0134}, 12a_{0139},$
 $12a_{0141}, 12a_{0142}, 12a_{0146}, 12a_{0157}, 12a_{0184}, 12a_{0186}, 12a_{0188}, 12a_{0190},$
 $12a_{0209}, 12a_{0214}, 12a_{0217}, 12a_{0219}, 12a_{0222}, 12a_{0245}, 12a_{0246}, 12a_{0250},$
 $12a_{0261}, 12a_{0265}, 12a_{0268}, 12a_{0271}, 12a_{0281}, 12a_{0299}, 12a_{0316}, 12a_{0323},$
 $12a_{0331}, 12a_{0333}, 12a_{0334}, 12a_{0349},$

More **non** bi-orderable knot groups

$12a_{0351}$, $12a_{0362}$, $12a_{0363}$, $12a_{0369}$, $12a_{0374}$, $12a_{0386}$, $12a_{0396}$, $12a_{0398}$,
 $12a_{0426}$, $12a_{0439}$, $12a_{0452}$, $12a_{0464}$, $12a_{0466}$, $12a_{0469}$, $12a_{0473}$, $12a_{0476}$,
 $12a_{0477}$, $12a_{0479}$, $12a_{0497}$, $12a_{0499}$, $12a_{0515}$, $12a_{0536}$, $12a_{0561}$, $12a_{0565}$,
 $12a_{0569}$, $12a_{0576}$, $12a_{0579}$, $12a_{0629}$, $12a_{0662}$, $12a_{0696}$, $12a_{0697}$, $12a_{0699}$,
 $12a_{0700}$, $12a_{0706}$, $12a_{0707}$, $12a_{0716}$, $12a_{0815}$, $12a_{0824}$, $12a_{0835}$, $12a_{0859}$,
 $12a_{0864}$, $12a_{0867}$, $12a_{0878}$, $12a_{0898}$, $12a_{0916}$, $12a_{0928}$, $12a_{0935}$, $12a_{0981}$,
 $12a_{0984}$, $12a_{0999}$, $12a_{1002}$, $12a_{1013}$, $12a_{1027}$, $12a_{1047}$, $12a_{1065}$, $12a_{1076}$,
 $12a_{1105}$, $12a_{1114}$, $12a_{1120}$, $12a_{1122}$, $12a_{1128}$, $12a_{1168}$, $12a_{1176}$, $12a_{1188}$,
 $12a_{1203}$, $12a_{1219}$, $12a_{1220}$, $12a_{1221}$, $12a_{1226}$, $12a_{1227}$, $12a_{1230}$, $12a_{1238}$,
 $12a_{1246}$, $12a_{1248}$, $12a_{1253}$, $12n_{0005}$, $12n_{0006}$, $12n_{0007}$, $12n_{0010}$, $12n_{0016}$,
 $12n_{0019}$, $12n_{0020}$, $12n_{0038}$, $12n_{0041}$, $12n_{0042}$, $12n_{0052}$, $12n_{0064}$, $12n_{0070}$,
 $12n_{0073}$, $12n_{0090}$, $12n_{0091}$, $12n_{0092}$, $12n_{0098}$, $12n_{0104}$, $12n_{0105}$, $12n_{0106}$,
 $12n_{0113}$, $12n_{0115}$, $12n_{0120}$, $12n_{0121}$, $12n_{0125}$, $12n_{0135}$,

More **non** bi-orderable knot groups

$12n_{0136}$, $12n_{0137}$, $12n_{0139}$, $12n_{0142}$, $12n_{0148}$, $12n_{0150}$, $12n_{0151}$, $12n_{0156}$,
 $12n_{0157}$, $12n_{0165}$, $12n_{0174}$, $12n_{0175}$, $12n_{0184}$, $12n_{0186}$, $12n_{0187}$, $12n_{0188}$,
 $12n_{0190}$, $12n_{0192}$, $12n_{0198}$, $12n_{0199}$, $12n_{0205}$, $12n_{0226}$, $12n_{0230}$, $12n_{0233}$,
 $12n_{0235}$, $12n_{0242}$, $12n_{0261}$, $12n_{0272}$, $12n_{0276}$, $12n_{0282}$, $12n_{0285}$, $12n_{0296}$,
 $12n_{0309}$, $12n_{0318}$, $12n_{0326}$, $12n_{0327}$, $12n_{0328}$, $12n_{0329}$, $12n_{0344}$, $12n_{0346}$,
 $12n_{0347}$, $12n_{0348}$, $12n_{0350}$, $12n_{0352}$, $12n_{0354}$, $12n_{0355}$, $12n_{0362}$, $12n_{0366}$,
 $12n_{0371}$, $12n_{0372}$, $12n_{0377}$, $12n_{0390}$, $12n_{0392}$, $12n_{0401}$, $12n_{0402}$, $12n_{0405}$,
 $12n_{0409}$, $12n_{0416}$, $12n_{0417}$, $12n_{0423}$, $12n_{0425}$, $12n_{0426}$, $12n_{0427}$, $12n_{0437}$,
 $12n_{0439}$, $12n_{0449}$, $12n_{0451}$, $12n_{0454}$, $12n_{0456}$, $12n_{0458}$, $12n_{0459}$, $12n_{0460}$,
 $12n_{0466}$, $12n_{0468}$, $12n_{0472}$, $12n_{0475}$, $12n_{0484}$, $12n_{0488}$, $12n_{0495}$, $12n_{0505}$,
 $12n_{0506}$, $12n_{0508}$, $12n_{0514}$, $12n_{0517}$, $12n_{0518}$, $12n_{0522}$, $12n_{0526}$, $12n_{0528}$,
 $12n_{0531}$, $12n_{0538}$,

More **non** bi-orderable knot groups

$12n_{0543}$, $12n_{0549}$, $12n_{0555}$, $12n_{0558}$, $12n_{0570}$, $12n_{0574}$, $12n_{0577}$, $12n_{0579}$,
 $12n_{0582}$, $12n_{0591}$, $12n_{0592}$, $12n_{0598}$, $12n_{0601}$, $12n_{0604}$, $12n_{0609}$, $12n_{0610}$,
 $12n_{0613}$, $12n_{0619}$, $12n_{0621}$, $12n_{0623}$, $12n_{0627}$, $12n_{0629}$, $12n_{0634}$, $12n_{0640}$,
 $12n_{0641}$, $12n_{0642}$, $12n_{0647}$, $12n_{0649}$, $12n_{0657}$, $12n_{0658}$, $12n_{0660}$, $12n_{0666}$,
 $12n_{0668}$, $12n_{0670}$, $12n_{0672}$, $12n_{0673}$, $12n_{0675}$, $12n_{0679}$, $12n_{0681}$, $12n_{0683}$,
 $12n_{0684}$, $12n_{0686}$, $12n_{0688}$, $12n_{0690}$, $12n_{0694}$, $12n_{0695}$, $12n_{0697}$, $12n_{0703}$,
 $12n_{0707}$, $12n_{0708}$, $12n_{0709}$, $12n_{0711}$, $12n_{0717}$, $12n_{0719}$, $12n_{0721}$, $12n_{0725}$,
 $12n_{0730}$, $12n_{0739}$, $12n_{0747}$, $12n_{0749}$, $12n_{0751}$, $12n_{0754}$, $12n_{0761}$, $12n_{0762}$,
 $12n_{0781}$, $12n_{0790}$, $12n_{0791}$, $12n_{0798}$, $12n_{0802}$, $12n_{0803}$, $12n_{0835}$, $12n_{0837}$,
 $12n_{0839}$, $12n_{0842}$, $12n_{0848}$, $12n_{0850}$, $12n_{0852}$, $12n_{0866}$, $12n_{0871}$, $12n_{0887}$,
 $12n_{0888}$.

Theorem: All roots positive implies bi-orderable

As motivation, consider an upper triangular matrix multiplied by a vector:

$$\begin{pmatrix} \lambda_1 & * & * \\ 0 & \lambda_2 & * \\ 0 & 0 & \lambda_3 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} \lambda_1 x_1 + *x_2 + *x_3 \\ \lambda_2 x_2 + *x_3 \\ \lambda_3 x_3 \end{pmatrix}$$

Now, declaring a vector (in \mathbb{R}^3) to be “positive” if its last nonzero entry is greater than zero, we see that, if also the eigenvalues λ_i are positive, then multiplication by such a matrix preserves that positive cone of \mathbb{R}^3 , considered as an additive group. So we see

Proposition

If all the eigenvalues of a linear transformation $L : \mathbb{R}^n \rightarrow \mathbb{R}^n$ are real and positive, then there is a bi-ordering of \mathbb{R}^n which is preserved by L .

Theorem: All roots positive implies bi-orderable

So our problem reduces to showing:

Proposition

Let F be a finitely generated free group and $h : F \rightarrow F$ an automorphism. If all the eigenvalues of $h_ : H_1(F; \mathbb{Q}) \rightarrow H_1(F; \mathbb{Q})$ are real and positive, then there is a bi-ordering of F preserved by h .*

One way to order a free group F is to use the lower central series $F_1 \supset F_2 \supset \dots$ defined by

$$F_1 = F, \quad F_{i+1} = [F, F_i]$$

which has the properties that $\bigcap F_i = \{1\}$ and F_i/F_{i+1} is free abelian. Choose an arbitrary bi-ordering of F_i/F_{i+1} , and define a positive cone of F by declaring $1 \neq x \in F$ positive if its class in F_i/F_{i+1} is positive in the chosen ordering, where i is the last subscript such that $x \in F_i$.

Theorem: All roots positive implies bi-orderable

If $h : F \rightarrow F$ is an automorphism it preserves the lower central series and induces maps of the lower central quotients: $h_i : F_i/F_{i+1} \rightarrow F_i/F_{i+1}$. With this notation, h_1 is just the abelianization h_{ab} . In a sense, all the h_i are determined by h_1 . That is, there is an embedding of F_i/F_{i+1} in the tensor power $F_{ab}^{\otimes k}$, and the map h_i is just the restriction of $h_{ab}^{\otimes k}$.

The assumption that all eigenvalues of h_{ab} are real and positive implies that the same is true of all its tensor powers.

This allows us to find bi-orderings of the free abelian groups F_i/F_{i+1} which are invariant under h_i . Using these to bi-order F , we get invariance under h , which proves the proposition and therefore the theorem.

Theorem: Bi-orderable implies some positive roots

We now turn to the proof of the theorem: If K is fibred and its group is bi-orderable, then $\Delta_K(t)$ has **some** real positive roots.

Theorem

Suppose G is a nontrivial finitely generated bi-orderable group and that $\phi : G \rightarrow G$ preserves a bi-ordering of G . Then the induced map

$$\phi_* : H_1(G; \mathbb{Q}) \rightarrow H_1(G; \mathbb{Q})$$

has a positive eigenvalue.

Theorem: Bi-orderable implies some positive roots

The key idea is to consider a linear automorphism $L : \mathbb{Q}^n \rightarrow \mathbb{Q}^n$ which preserves an ordering. Regarding \mathbb{Q}^n as a subset of \mathbb{R}^n , there is a hyperplane $H \subset \mathbb{R}^n$ defined by

$H = \{x \in \mathbb{R}^n \mid \text{every nbhd. of } x \text{ contains positive and negative points}\}$

H separates \mathbb{R}^n and is invariant under L .

Consider the unit sphere \mathbb{S}^{n-1} of \mathbb{R}^n , and let D denote the closed hemisphere of \mathbb{S}^{n-1} which lies on the “positive” side of H . There is a mapping $D \rightarrow D$ defined by

$$x \rightarrow \frac{L(x)}{|L(x)|}$$

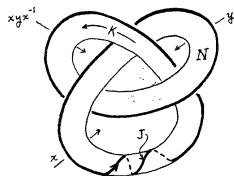
Since D is an $(n - 1)$ -ball, this map has a **fixed point** (Brouwer). This fixed point corresponds to an eigenvector of L , which has a positive real eigenvalue.

Surgery

We conclude with some applications to [surgery](#) on a knot K in \mathbb{S}^3 . One removes a tubular neighborhood of K and attaches a solid torus $\mathbb{S}^1 \times D^2$ so that the meridian $\{*\} \times \mathbb{S}^1$ is attached to a specified “framing” curve on the boundary of the neighborhood.

By theorem of Wallace and Lickorish, every compact, orientable 3-manifold (without boundary) can be constructed by surgery on some disjoint union of knots (i. e. a link) in \mathbb{S}^3 .

Surgery



Consider surgery on the trefoil knot:

With +1 framing, as pictured, one gets the Poincaré homology sphere, as constructed by Max Dehn. This is a homology sphere with fundamental group

$$\langle a, b \mid (ab)^2 = a^3 = b^5 \rangle$$

This is a finite group, of order 120,
so its group is certainly **not** left-orderable.

Surgery

For the next example, we'll need to consider $\widetilde{SL}_2(\mathbb{R})$, which is one of the eight Thurston 3-manifold geometries.

Note that the matrix group $SL_2(\mathbb{R})$ acts on the circle. For example, it acts on $S^1 \cong \mathbb{R} + \infty$ by fractional linear transformations, preserving orientation. In fact as a topological space, $SL_2(\mathbb{R})$ has the homotopy type of the circle. Therefore its universal covering $\widetilde{SL}_2(\mathbb{R}) \rightarrow SL_2(\mathbb{R})$ is an infinite cyclic covering. Moreover, $\widetilde{SL}_2(\mathbb{R})$ has a group structure and acts on the universal cover \mathbb{R} of S^1 by orientation-preserving homeomorphisms. That is, $\widetilde{SL}_2(\mathbb{R})$ is a subgroup of $Homeo_+(\mathbb{R})$, and we conclude that $\widetilde{SL}_2(\mathbb{R})$ is a **left-orderable** group.

Surgery

If we do surgery on the trefoil using -1 framing, the resulting 3-manifold M , again a homology sphere, has fundamental group

$$\langle a, b \mid (ab)^2 = a^3 = b^7 \rangle$$

G. Bergman observed that this group maps injectively to $\widetilde{SL}_2(\mathbb{R})$, which is a left-orderable group. Thus $\pi_1(M)$ is left-orderable (even though its first Betti number is zero).

It is not bi-orderable or even locally indicable, because it is finitely-generated and perfect (that is, abelianizes to the trivial group).

Theorem

Suppose K is a fibred knot in S^3 and nontrivial surgery on K produces a 3-manifold M whose fundamental group is *bi-orderable*. Then the surgery must be longitudinal (that is, 0-framed) and $\Delta_K(t)$ must have a positive real root. Moreover, M fibres over S^1 .

Surgery

Ozsváth and Szabó define an **L-space** to be a closed 3-manifold M such that $H_1(M; \mathbb{Q}) = 0$ and its Heegaard-Floer homology $\widehat{HF}(M)$ is a free abelian group of rank equal to $|H_1(M; \mathbb{Z})|$. Lens spaces, and more generally 3-manifolds with finite fundamental group are examples of L-spaces. But there are also many rational homology spheres whose fundamental group is infinite.

Theorem

Suppose $K \subset S^3$ is a knot whose group is bi-orderable. Then one cannot obtain an L-space by surgery on K .

Surgery

Proof sketch: Suppose surgery on K results in an L-space.

By Yi Ni, K must be fibred. Moreover, Ozsváth and Szabó show that the Alexander polynomial of K must have a special form.

Then one argues that a polynomial of this form has no positive real roots, so the knot group cannot be bi-ordered.

Merci beaucoup!

Next time: Braids, $Aut(F_n)$ and minimal volume hyperbolic 3-manifolds.