# <span id="page-0-0"></span>Ordered groups, knots, braids and hyperbolic 3-manifolds Minicourse in Caen

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Lecture 1: Introduction to ordered groups Lecture 2: Ordering knot groups; Fibred knots and surgery Lecture 3: Braids,  $Aut(F_n)$  and minimal volume hyperbolic 3-manifolds This is joint work with Eiko Kin, Osaka University.

You are all familiar with the braid groups  $B_n$ , so I'll just review a few things to make my conventions clear.



(1) pictures the braid  $\sigma_i \in B_n$ . (2) is the 3-braid  $\sigma_1 \sigma_2^{-1}$  (3) shows the action of  $\sigma_i$  on the mapping class group of the *n*-punctured disk.

 $B_n$  acts on the fundamental group of the punctured disk, which is a free group  $F_n$ .



The Artin action of  $\sigma_i$  on  $F_n \cong \langle x_1, \ldots, x_n \rangle$  is

$$
x_i \to x_i x_{i+1} x_i^{-1} \quad x_{i+1} \to x_i \quad x_j \to x_j, \ j \neq i, i+1
$$

The Artin representation is an injective homomorphism

 $B_n \to Aut(F_n)$ .

We use the notation

$$
x\to x^\beta
$$

to denote the action of the braid  $\beta$  upon the group element  $x \in F_n$  under this representation. Note that  $x^{\beta\gamma}=(x^\beta)^\gamma.$ We say the braid  $\beta \in B_n$  is order preserving if and only if there exists a

bi-ordering  $<$  of  $F_n$  such that

$$
x < y \iff x^{\beta} < y^{\beta}
$$

The central theme of today's talk is the interplay between braids and bi-orderings of free groups.

In particular, we study which braids are order-preserving. Many questions are still open.

This also has connections with orderability of certain link groups, and application to understanding minimal volume cusped hyperbolic 3-manifolds.

A discussion of ordering of free groups is in order....

One way to construct bi-orderings of  $F_n$  is via the lower central series

$$
F_n = \gamma_0(F_n) \supset \gamma_1(F_n) \supset \gamma_2(F_n) \supset \cdots
$$

defined inductively by  $\gamma_{k+1}(F_n) = [\gamma_k(F_n), F_n]$ .

These are all normal subgroups and have nice properties:

- $\gamma_k(F_n)/\gamma_{k+1}(F_n)$  is a finitely-generated free abelian group, that is, isomorphic with some  $\mathbb{Z}^m$
- $\bigcap_{k=0}^{\infty} \gamma_k(F_n) = \{1\}.$  That is,  $F_n$  is residually nilpotent.

To define an ordering on  $F_n$  it is enough to specify the positive elements. For each  $k > 0$  choose a bi-ordering  $\lt_k$  of  $\gamma_k(F_n)/\gamma_{k+1}(F_n)$ . So if  $1 \neq x \in F_n$ , let k be the largest integer such that  $x \in \gamma_k(F_n)$  and say that

x is positive iff  $1 \lt k$  [x], where [x] is its class in  $\gamma_k(F_n)/\gamma_{k+1}(F_n)$ .

It is routine to verify that this defines a bi-ordering of  $F_n$ . In fact, by varying the choices of  $\lt_k$  one can define uncountably many different bi-orderings of  $F_n$ , if  $n \geq 2$ . Orderings constructed as we've described will be called LCS-type orderings.

We begin with some relatively easy observations regarding order-preserving braids.

### **Proposition**

The braid  $\sigma_i$  is not order-preserving.

To see this, recall that  $\sigma_i$  acts by  $x_{i+1} \rightarrow x_i \rightarrow x_i x_{i+1} x_i^{-1}$  $i^{-1}$ .

If  $\lt$  is a supposed invariant bi-ordering of  $F_n$ , we may assume w.l.o.g. that  $x_i < x_{i+1}$ . Then, by invariance,  $x_i x_{i+1} x_i^{-1} < x_i$ . Since bi-orderings are invariant under conjugation we conclude that  $x_{i+1} < x_i$ , a contradition.

### Proposition

The full-twist n-braid  $\Delta^2 = (\sigma_1 \sigma_2 \cdots \sigma_{n-1})^n$  is order-preserving. In fact its action preserves every bi-ordering of  $F_n$ 

That's because  $\Delta^2$  acts on  $F_n$  by conjugation, by  $x_1x_2\dots x_n$ . And every bi-ordering is invariant under conjugation.

### Free group automorphisms

Note that any automorphism  $\phi : F_n \to F_n$  takes each lower central subgroup into itself, so  $\phi$  induces homomorphisms

$$
\phi_k : \gamma_k(F_n)/\gamma_{k+1}(F_n) \to \gamma_k(F_n)/\gamma_{k+1}(F_n).
$$

The homomorphism  $\phi_0$  is just the abelianization  $\phi_{\sf ab} : \mathbb{Z}^n \to \mathbb{Z}^n.$ 

#### Lemma

If  $\phi_{ab}: \mathbb{Z}^n \to \mathbb{Z}^n$  is the identity mapping, so is every  $\phi_k : \gamma_k(F_n)/\gamma_{k+1}(F_n) \to \gamma_k(F_n)/\gamma_{k+1}(F_n).$ 

#### Theorem

If  $\phi_{ab}: \mathbb{Z}^n \to \mathbb{Z}^n$  is the identity mapping, then  $\phi: F_n \to F_n$  preserves every ordering of LCS - type.

Recall that a pure braid is one whose underlying permutation is the identity. The pure braids form a normal subgroup of  $B_n$  of index n!. Under the Artin representation, a pure braid sends each generator to some conjugate of itself. Such an automorphism abelianizes to the identity.

### **Corollary**

If  $\beta \in B_n$  is a pure braid, then  $\beta$  is order-preserving. In fact,  $\beta$  preserves every ordering of  $F_n$  of LCS-type.

We recall the HNN extension of a group  $K$  associated with an automorphism  $\phi: K \to K$ . If  $k_1, \ldots, k_n$  are generators, we introduce a new symbol  $t$  and impose the relations

$$
t^{-1}k_it=k_i^{\phi}
$$

Denote the resulting group  $G = K \rtimes_{\phi} \mathbb{Z}$ .

#### Proposition

Suppose K is bi-orderable and  $\phi: K \to K$  is an automorphism. Then  $G = K \rtimes_{\phi} \mathbb{Z}$  is bi-orderable if and only if there exists a bi-ordering of K which is preserved by  $\phi$ .

An example of this is the fundamental group of a fibre bundle over the circle. If  $h: X \to X$  is a homeomorphism of the space X, then the mapping torus is the space

$$
\mathbb{T}_h:=X\times [0,1]/(x,1)\sim (h(x),0).
$$

There is a natural fibration  $\mathbb{T}_h \to S^1$ , with fibre  $X.$ The fundamental group of the mapping torus is the extension

$$
\pi_1(\mathbb{T}_h)\cong \pi_1(X)\rtimes_{h_*}\mathbb{Z}
$$

where  $h_* : \pi_1(X) \to \pi_1(X)$  is the 'homotopy monodromy.'

Back to the situation of braids, recall that a braid  $\beta \in B_n$  acts on the punctured disk  $D_n$ . The mapping torus of this action is homeomorphic with the complement of the braided link  $br(\beta) = \hat{\beta} \cup A$ :

 $\mathbb{T}_\beta\cong \mathcal{S}^3\setminus \mathit{br}(\beta)$ 



Figure: (1) Closure  $\hat{\beta}$ . (2) br( $\beta$ ) =  $\hat{\beta} \cup A$ . (3) br( $\sigma_1 \sigma_2$ ) is equivalent to the  $(6, 2)$ -torus link.

### Proposition

For braid  $\beta \in B_n$  the following are equivalent:

- $\bullet$   $\beta$  is order preserving
- the fundamental group of  $\mathbb{T}_{\beta}$  is bi-orderable
- the link group  $\pi_1(S^3 \setminus br(\beta))$  is bi-orderable.

### **Proposition**

If a braid  $\beta \in B_n$  is order-preserving, then so are all its conjugates.



Figure: Links whose groups are bi-orderable.

#### **Corollary**

For every braid  $\beta$  some power  $\beta^k$  is order-preserving.

We call a braid  $\beta\in\mathcal{B}_n$  periodic if some power  $\beta^k$  lies in the centre of  $\mathcal{B}_n.$ Recall that, for  $n \geq 3$  the centre of  $B_n$  is infinite cyclic, generated by the full twist  $\Delta_n^2$ . Define  $\delta_n = \sigma_1 \sigma_2 \cdots \sigma_{n-1}$ . Noting that  $\delta_n^n = \Delta_n^2$  we see that  $\delta_n$  is periodic, being an  $n^{th}$  root of a full twist. There is also an  $n-1$  root of a full twist, namely  $\delta_n \sigma_1$ .

### Proposition

Every periodic braid is conjugate to a power of  $\delta_n$  or  $\delta_n \sigma_1$ .

### Periodic braids

#### Theorem

Let  $\beta\in\mathcal{B}_n$  be a periodic braid. If  $\beta$  is conjugate to  $(\delta_n\sigma_1)^k$  then  $\beta$  is order-preserving. If  $\beta$  is conjugate to  $\delta^k_n$  then  $\beta$  is NOT order-preserving, unless  $k \equiv 0 \pmod{n}$ .

Part of this theorem can be seen using a trick: a disk twist, which is a self-homeomorphism of the complement of an unknotted component of a link.





Figure: nth power of the disk twist converts the braided link of  $\sigma_1^2$  to that of  $\sigma_1 \sigma_2 \cdots \sigma_{n+1} \sigma_1$ . ( $n = 2$  in this case.)

Note that the link on the right and the link on the left have homeomorphic complements. The braid on the right is pure, therefore order-preserving, and so the complement of its braided link has bi-orderable group. We conclude that (in this picture)  $\delta_4\sigma_1$  must be order-preserving.

Tensor product of braids.



Figure: (1)  $\alpha \in B_m$ . (2)  $\beta \in B_n$ . (3)  $\alpha \otimes \beta \in B_{m+n}$ .

### Proposition

The braid  $\alpha \otimes \beta$  is order-preserving if and only if both  $\alpha$  and  $\beta$  are order-preserving.

This follows from a recent theorem regarding the ordering of free products.

#### Theorem

Suppose  $(G, \lt_G)$  and  $(H, \lt_H)$  are bi-ordered groups. Then there is a bi-ordering of G ∗ H which extends the orderings of the factors and such that whenever  $\phi : G \to G$  and  $\psi : H \to H$  are order-preserving automorphisms, the ordering of  $G * H$  is preserved by the automorphism  $\phi * \psi : G * H \rightarrow G * H$ .

### **Corollary**

A braid  $\beta \in B_m$  is order-preserving if and only if  $\beta \otimes 1_n \in B_{m+n}$  is order-preserving.

Note that the order-preserving braids in  $\mathcal{B}_2$  are exactly the powers  $\sigma_1^k$  with  $k$  even. In other words, it is the subgroup of pure 2-braids. For  $n > 2$  the situation is different.

#### Proposition

For  $n > 2$ , the set of order-preserving braids is NOT a subgroup of  $B_n$ .

Consider  $\alpha = \sigma_1 \sigma_2 \sigma_1$ , which is (an extension of) the periodic braid  $\delta_2\sigma_1\in B_3$ , hence order-preserving. Let  $\beta=\sigma_1^{-2},$  a pure braid, so also order-preserving. But the product  $\alpha\beta=\sigma_1\sigma_2\bar{\sigma}_1^{-1}$  is not order-preserving, as it is conjugate to  $\sigma_2$  which is not order-preserving.

### Proposition

For  $n > 2$ , the set of order-preserving braids in  $B_n$  generates  $B_n$ .

We now turn attention to applications to understanding minimal volume hyperbolic manifolds, possibly with cusps. The following results will be useful.

### Theorem (Perron - R.)

Let  $\phi: F_n \to F_n$  be an automorphism. If every eigenvalue of  $\phi_{ab}: \mathbb{Z}^n \to \mathbb{Z}^n$ is real and positive, then there is a bi-ordering of  $F_n$  which is  $\phi$ -invariant.

### Theorem (Clay - R,)

If there exists a bi-ordering of  $F_n$  which is  $\phi$ -invariant, then  $\phi_{ab}$  has at least one real and positive eigenvalue.

# Hyperbolic manifolds

### Theorem (GabaI - Meyerhoff - Milley)

The (unique) minimal volume closed hyperbolic 3-manifold is the Weeks manifold, which can be obtained from the Whitehead link by [5/2, 5/1] surgery on the Whitehead link.



#### Theorem (Calegari-Dunfield)

The fundamental group of the Weeks manifold is NOT left-orderable.

# One-cusped hyperbolic manifolds

In the case of one cusp, there are two distinct examples.

### Theorem (Cao-Meyerhoff)

A minimal volume one-cusped orientable hyperbolic 3-manifold is homeomorphic to either the complement of the figure-eight knot  $4<sub>1</sub>$ , or its sibling, which can be described as  $5/1$  surgery on one component of the Whitehead link.

The following shows they can be distinguished by orderability properties of their fundamental groups.

#### Theorem

The figure-eight complement has bi-orderable fundamental group. The group of its sibling is NOT bi-orderable.

To see this, we note that both these manifolds can be realized as punctured torus bundles over  $S^1$ . In the case of the figure-eight complement, the (homology) monodromy  $\left( \begin{array}{cc} 2 & 1 \ 1 & 1 \end{array} \right)$  has two positive eigenvalues (3  $\pm$ √ 5)/2. Thus the homotopy monodromy preserves an ordering of  $F_2$ , the fundamental group of the fibre, and therefore the mapping torus  $S^3\setminus 4_1$  has bi-orderable group. The sibling has the monodromy  $\begin{pmatrix} -2 & -1 \\ 1 & 1 \end{pmatrix}$  $-1$   $-1$  $\bigg).$  This has the two negative eigenvalues ( $-3$   $\pm$ √ 5)/2. Therefore the homotopy monodromy cannot preserve a bi-order and so its mapping torus (the sibling) has NON-bi-orderable group.

# Two-cusped hyperbolic manifolds

### Theorem (Agol 2010)

A minimal volume orientable hyperbolic 3-manifold with 2 cusps is homeomorphic to either the Whitehead link complement or the  $(-2, 3, 8)$ -pretzel link complement.



Figure: Two pictures of the  $(-2, 3, 8)$ -pretzel link. On the left, we may consider it the braided link  $br(\delta_5\sigma_1^2)$ 

# Two-cusped hyperbolic manifolds

#### Theorem

The fundamental group of the Whitehead link complement is bi-orderable. The group of the  $(-2, 3, 8)$ -pretzel link is NOT bi-orderable.

For the Whitehead link, whose complement fibres over  $S^1$  with fibre a twice-punctured torus, one computes the homology monodromy  $\sqrt{ }$  $\mathcal{L}$ 1 −1 −1 0 1 0 0 1 1  $\setminus$ , which has 1 as a triple eigenvalue. Therefore the homotopy monodromy preserves a bi-order of  $F_3$ , and so the group of the Whitehead link is bi-orderable.

For the  $(-2, 3, 8)$ -pretzel, which is also  $br(\delta_5 \sigma_1^2)$ , we conclude that its group cannot be bi-ordered by the observation:

Proposition

For any  $n\geq 3$  and positive integer k, the braid  $\delta_n\sigma_1^{2k}$  is not order-preserving.

This can be proved by calculating the action of  $\delta_n \sigma_1^{2k}$  on  $F_n$ , assuming it is order-preserving, and arriving at a contradiction.

# More-cusped hyperbolic manifolds

Minimally-twisted chain links:



Figure: (1)  $C_3$ . (2)  $C_4$ . (3)  $C_5$ . (4)  $C_6$ .

It is conjectured that for 3, 4, 5, 6 cusps, a minimal volume orientable hyperbolic manifold is homeomorphic with the complement of a "minimally twisted" chain link.

### Theorem (Yoshida)

A minimal volume orientable hyperbolic 3-manifold with 4 cusps is homeomorphic to  $S^3 \setminus C_4$ .

#### Theorem

 $\pi_1(S^3 \setminus C_4)$  is bi-orderable.

### Four-cusped hyperbolic manifolds

To prove this, we note that  $S^3 \setminus \mathit{C}_4$  is homeomorphic (via a disk twist) to  $br(\sigma_1^{-2}\sigma_2^2)$ . The braid  $\sigma_1^{-2}\sigma_2^2$  is order-preserving, as it is a pure braid.



Figure:  $S^3\setminus\mathrm{br}(\sigma_1^{-2}\sigma_2^2)$  is homeomorphic to  $S^3\setminus\mathcal{C}_4$ . (1)  $\mathrm{br}(\sigma_1^{-2}\sigma_2^2)$ . (2)(3) Links which are equivalent to  $C_4$ .

# Five-cusped hyperbolic manifolds

For five cusps, the complement of the minimally twisted 5-chain is conjectured to be minimal among 5-cusped orientable hyperbolic manifolds.



Figure:  $S^3 \setminus \text{br}(\sigma_1^{-2} \sigma_2^{-2} \sigma_3^{-2})$  is homeomorphic to  $S^3 \setminus C_5$ . (1)  $\text{br}(\sigma_1^{-2} \sigma_2^{-2} \sigma_3^{-2})$ . (3) Link which is equivalent to  $C_5$ .

#### Similarly we can show

Theorem

 $\pi_1(S^3 \setminus \mathcal{C}_6)$  is bi-orderable.

 $S^3 \setminus C_6$  is conjectured to be minimal among 6-cusped examples.

<span id="page-35-0"></span>Similarly,  $S^3 \setminus \mathcal{C}_3$ , a.k.a. the "magic manifold," is conjectured to be minimal among 3-cusped orientable hyperbolic 3-manifolds. We do not know if its fundamental group is bi-orderable. One can realize  $S^3 \setminus C_3$  as  $br(\sigma_1^2 \sigma_2^{-1})$ . So we'll conclude with an open question.

**Question:** Is  $\sigma_1^2 \sigma_2^{-1} \in B_3$  order-preserving?